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Legal Department - Patent Division

**To: Commissioner for Patents**Company: USPTO  
Fax: (703) 872-9306  
Phone:  
Date: October 29, 2004From: Caren D. Geppert  
Fax: 317-276-5172  
Phone: 317-651-4215  
Total Pages: 2

**Re: Corrected Form 96**  
**Title: Tumor Specific Human Monoclonal Antibodies**  
**and Methods of Use**  
**Inventors: William D. Huse and Jeffry D. Watkins**  
**Claims benefit of 09/203,768**  
**Our Ref.: X-16722A**

Please disregard Form 96 that we faxed to you on October 18, 2004, as it had the incorrect Assignee named thereon. The corrected form is attached for this matter. Thank you for your assistance.

**Privileged and Confidential Communication**

If there are any transmittal problems please call: (317) 651-2733.

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**Answers That Matter**

X16722A - Revised

PTO/SB/96 (09-04)

Approved for use through 07/31/2008. OMB 0851-0031  
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## CORRECTED STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jeffrey D. Watkins + William D. HuseApplication No./Patent No.: Not yet assigned Filed/Issue Date: 8/2/2004Entitled: Tumor Specific Human Monoclonal Antibodies and Methods of UseApplied Molecular Evolution, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %.

in the patent application/patent identified above by virtue of either:

- A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014826, Frame 0432 or for which a copy thereof is attached.

OR

- B ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

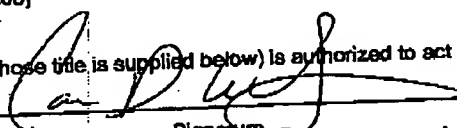
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Caren D. Geppert

Printed or Typed Name

Patent Attorney

Title

10.29.2004

Date

317.651.4215

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.